



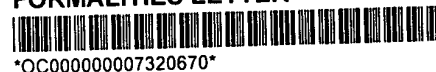
## UNITED STATES PATENT AND TRADEMARK OFFICE

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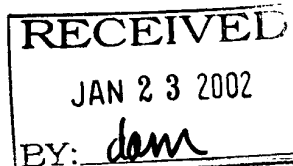
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/016,656	12/12/2001	Keith D. Allen	R-390

CONFIRMATION NO. 3892

## FORMALITIES LETTER



\*OC000000007320670\*

DELTA GEN, INC.  
740 Bay Road  
Redwood City, CA 94063

Date Mailed: 01/17/2002

Due: March 17, 2002  
Final: August 17, 2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/25/2002 AOSMAN1 00000048 501271 10016656

FILED UNDER 37 CFR 1.53(b)

03 FC:201	370.00 OP
04 FC:202	588.00 OP
05 FC:203	117.00 OP
06 FC:204	140.00 OP
07 FC:205	10.00 OP
55.00 CH	

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$836.
  - \$108 for 12 total claims over 20.
  - \$588 for 14 independent claims over 3.
  - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1271.

Adjustment date: 05/22/2002 MMARHOL

04/25/2002 AOSMAN1 00000048 501271 10016656  
05 FC:203 -117.00 OPA copy of this notice **MUST** be returned with the reply.

05/22/2002 MMARHOL 00000011 10016656

01 FC:203

108.00 OP

Customer Service Center

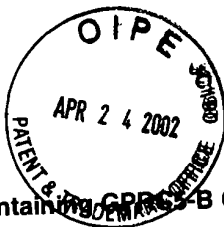
Initial Patent Examination Division (703) 308-1202

Repln. Ref: 05/22/2002 MMARHOL 0008545200 2 - COPY TO BE RETURNED WITH RESPONSE  
 DA: 501271 Name/Number: 10016656  
 FC: 704 \$9.00 CR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

In re PATENT APPLICATION of:

Inventors: **Keith D. Allen et al.**  
Appln. No.: **10/016,656**  
Filed: **December 21, 2001**  
Title: **Transgenic Mice Containing CCR5-B Gene Disruptions**



Order/Docket No. **R-390**  
Deposit Account No. **50-1271**  
Customer No. **26619**

Date: 16 April 2002

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status in accordance with 37 CFR §1.27.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

5. Basic Filing Fee					\$370.00	370.00	201
6. Total Claims	33	minus 20 =	13	x	9.00	117.00	203
7. Independent Claims	17	minus 3 =	14	x	42.00	588.00	202
8. Multiple Dependent Claims					140.00	140.00	204
9. Surcharge for filing Declaration/filing fee late					65.00	65.00	205
10.	FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =					\$ 1280.00	
11. Original due date: March 17, 2002							
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.	55.00	215
13. If Assignment is included, add recording fee.					\$ 40.00	40.00	581
TOTAL FEE (enclosed):						= \$ 1375.00	

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

**DELTAGEN, INC.**

740 Bay Road  
Redwood City, CA 94063  
Tel (650) 569-5100  
Fax (650) 569-5280

*Mariette A. Lapi*  
**MARIETTE A. LAPIZ, Reg. No. 44,202**

04/25/2002 ROSMAN1 00000048 501271 10016656  
02 FC:215 55.00 OP

I certify that the enclosed documents are being deposited with the U.S. Postal Service as first class mail, postage paid, in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on 16 April 2002

*Deborah A. Mojarro*  
**Deborah A. Mojarro**

**R-390/MAL**

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